



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/784,477	02/23/2004	Stefan Hein	HANZ-206	1721
24972	7590	03/08/2006	EXAMINER	
FULBRIGHT & JAWORSKI, LLP 666 FIFTH AVE NEW YORK, NY 10103-3198			MOORE, KARLA A	
			ART UNIT	PAPER NUMBER
			1763	
DATE MAILED: 03/08/2006				

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/784,477

Applicant(s)

HEIN ET AL.

Examiner

Karla Moore

Art Unit

1763

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 21 June 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-21 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-21 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 23 February 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
- 1) ☒ Certified copies of the priority documents have been received.
  - 2) ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - 3) ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>0105.0705</u> . | 6) <input type="checkbox"/> Other: _____  |

**DETAILED ACTION**

***Claim Rejections - 35 USC § 112***

1. The following is a quotation of the second paragraph of 35 U.S.C. 112:  
  
The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
2. Claims 7-15 and 18-21 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
3. Claims 7-12, 18-20 and 21 recite the limitation "the two uppermost dividing walls" or "the dividing walls" (either directly or indirectly).
4. Claims 13-15 recite the limitation "the sub-chambers" (either directly or indirectly).
5. Claim 21 also recites the limitation "the ring sector".
6. There is insufficient antecedent basis for each of these limitations in the above claims.

***Claim Rejections - 35 USC § 103***

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:  
  
(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.
8. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Art Unit: 1763

9. Claims 1-3, 6 and 16-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over DE 101 57 186 C1 to Erbkamm et al.

10. Erbkamm et al. disclose a web coating apparatus having a vacuum chamber (1) which has between a back wall and at least one removable closing plate: a shield with a flat cover (22), at least one guide roll (13) and a coating cylinder (15 and 16) with an axis, A, as well as at least one coating source (abstract) being disposed in the vacuum chamber, wherein the ends of the at least one guide roll and of the coating cylinder which face the closing plate are fastened to the cover with bearings and that the space in the vacuum chamber underneath the coating cylinder is kept free of supporting elements (see Figures 1 and 2; abstract).

11. With respect to claims 2 and 3, the coating cylinder is journaled on the back wall. One of ordinary skill in the art would recognize that the same mechanism could be provided for the guide rolls as the function similarly (see Figure 2).

12. With respect to claim 6, within the vacuum chamber at least four sub chambers are formed on the circumference of the coating cylinder by dividing walls (17).

13. With respect to claim 16, the size of the apparatus would be adapted to meet processing needs (e.g. substrate to be processed, desired throughput, etc.).

14. With respect to claim 17, the vacuum chamber has on each side of the coating cylinder a side chamber (2 and 3) in which a winding mandrel, one for an unwinding roll (4 or 5) and one for a winding roll (4 or 5) as well as corresponding guide rolls (13).

15. Claims 4 and 5 are rejected under 35 U.S.C. 103(a) as being unpatentable over Erbkamm et al. as applied to claims 1-3, 6 and 16-17 above, and further in view of U.S. Patent No. 2,925,062 to Schwindt.

16. Erbkamm et al. disclose the invention substantially as claimed and as described above.

17. However, Erbkamm et al. fail to teach dividing walls for dividing the space underneath and laterally of the coating cylinder which have at their ends facing the coating cylinder sealing means whose curvature is adapted to the radius of the coating cylinder such that between the sealing elements and the

Art Unit: 1763

coating cylinder arcuate sealing means whose curvature is adapted to the radius of the coating cylinder such that between the sealing elements and the coating cylinder arcuate sealing gaps are formed.

18. Schwindt teaches providing a plurality of gates and corresponding arcuate sealing members for the purpose of readily adjusting the clearance between separate sections of the coating apparatus (column 1, rows 52-64 and column 2, rows 43-68).


19. It would have been obvious to one of ordinary skill in the art at the time the Applicant's invention was made to have provided a plurality of gates and corresponding arcuate sealing members in Erbkamm et al. in order to readily adjust the clearance between separate subsections of the coating apparatus as taught by Schwindt.

#### **Conclusion**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Karla Moore whose telephone number is 571.272.1440. The examiner can normally be reached on Monday-Friday, 9:00 am-6:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Parviz Hassanzadeh can be reached on 571.272.1435. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Karla Moore  
Patent Examiner  
Art Unit 1763  
6 March 2006